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(56) Documents Cited

**US 5214701 A**

(58) Field of Search

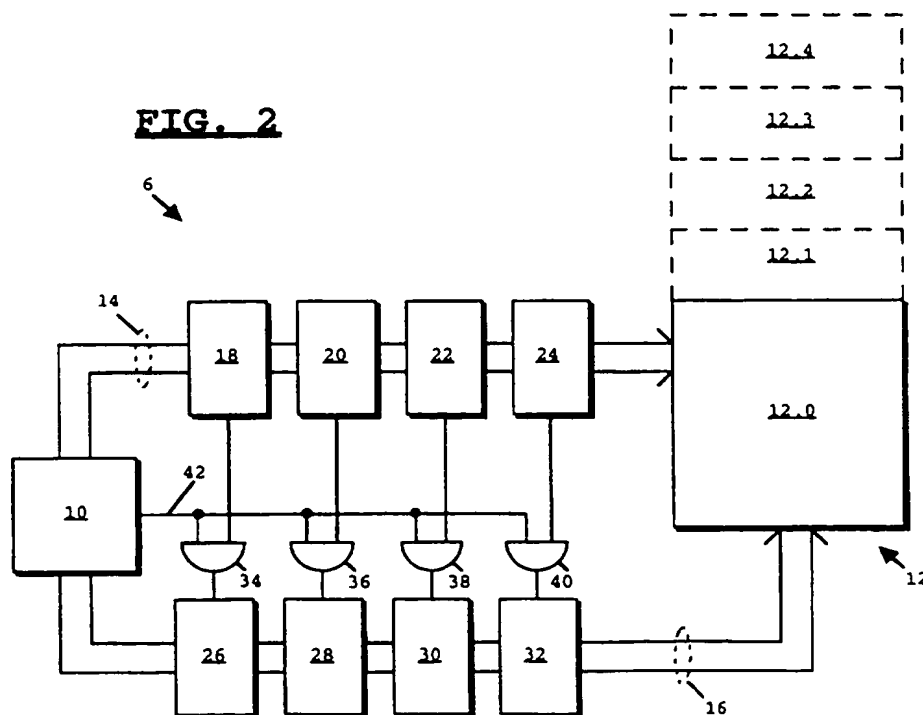
**UK CL (Edition O) G4A AAP, H4P PDCST PDCSX**

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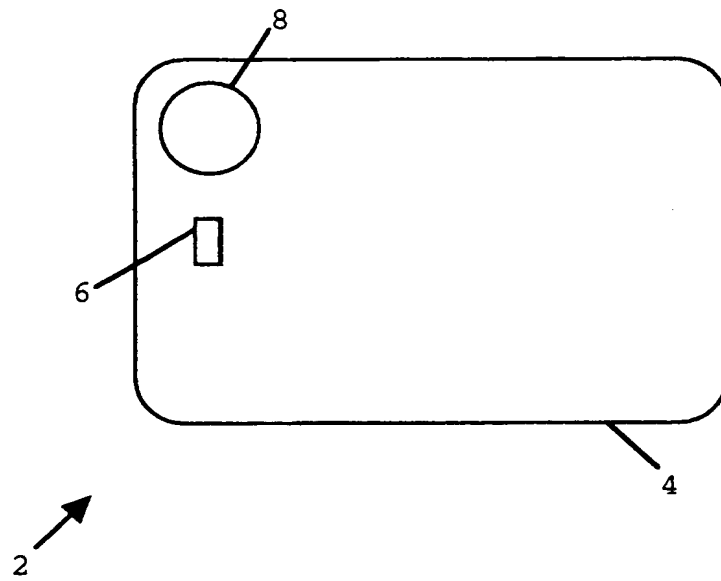
## (54) Data encryption/decryption using DES

(57) An arrangement (6) for encrypting/decrypting data comprising: random access memory (12) for holding the data; a processor (10) for processing the data, the processor having a memory map including a first portion (12.0) mapped onto the random access memory and a second portion (12.1); and control means (18, 34, 26) coupled to receive an instruction to write data to an address in the second portion of the memory map and in response thereto to write the data in a predetermined permuted form to an associated address in the random access memory, whereby data read from said associated address in the random access memory is an encrypted/decrypted version of the data written to said address in the second portion of the memory map.

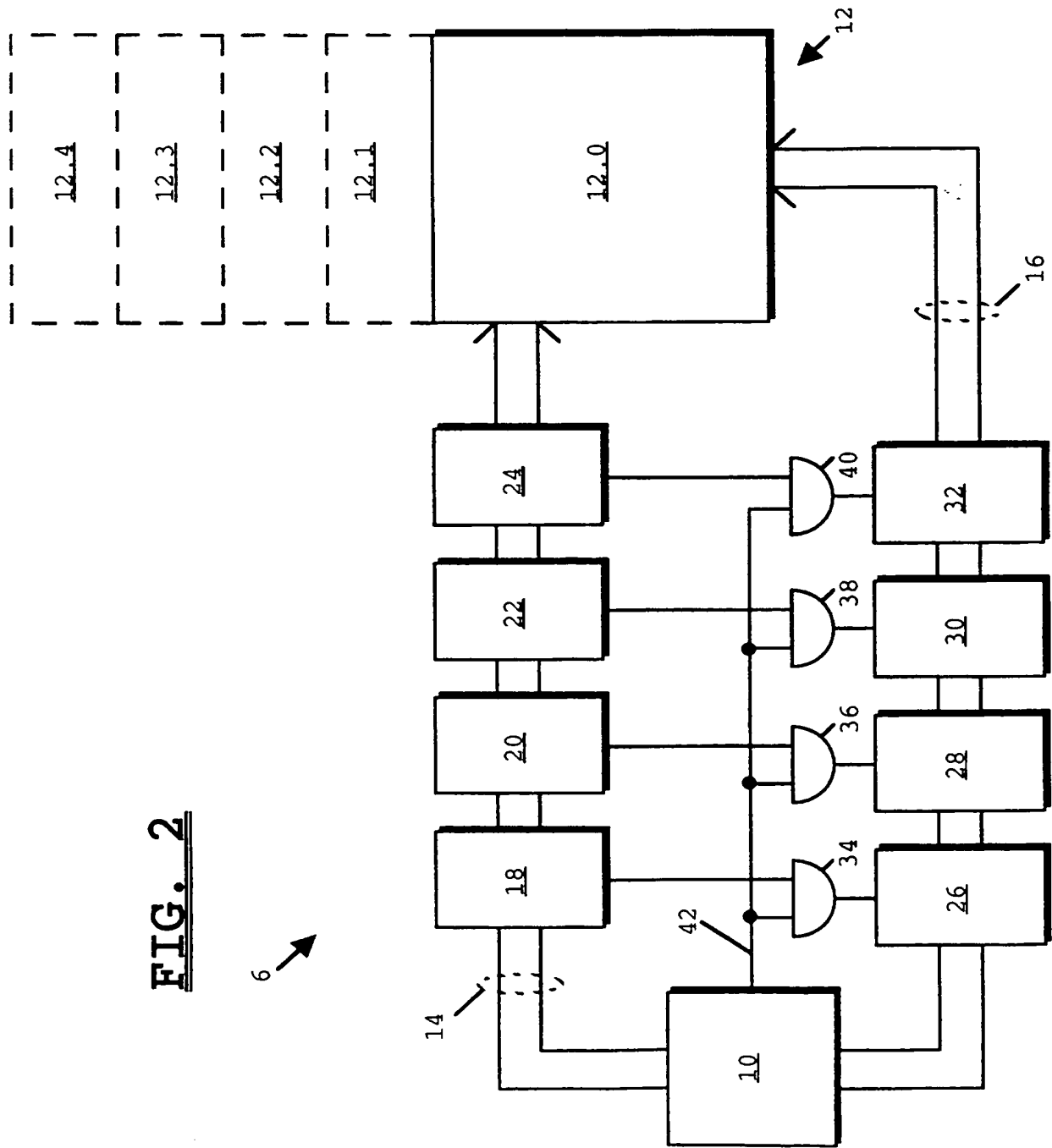


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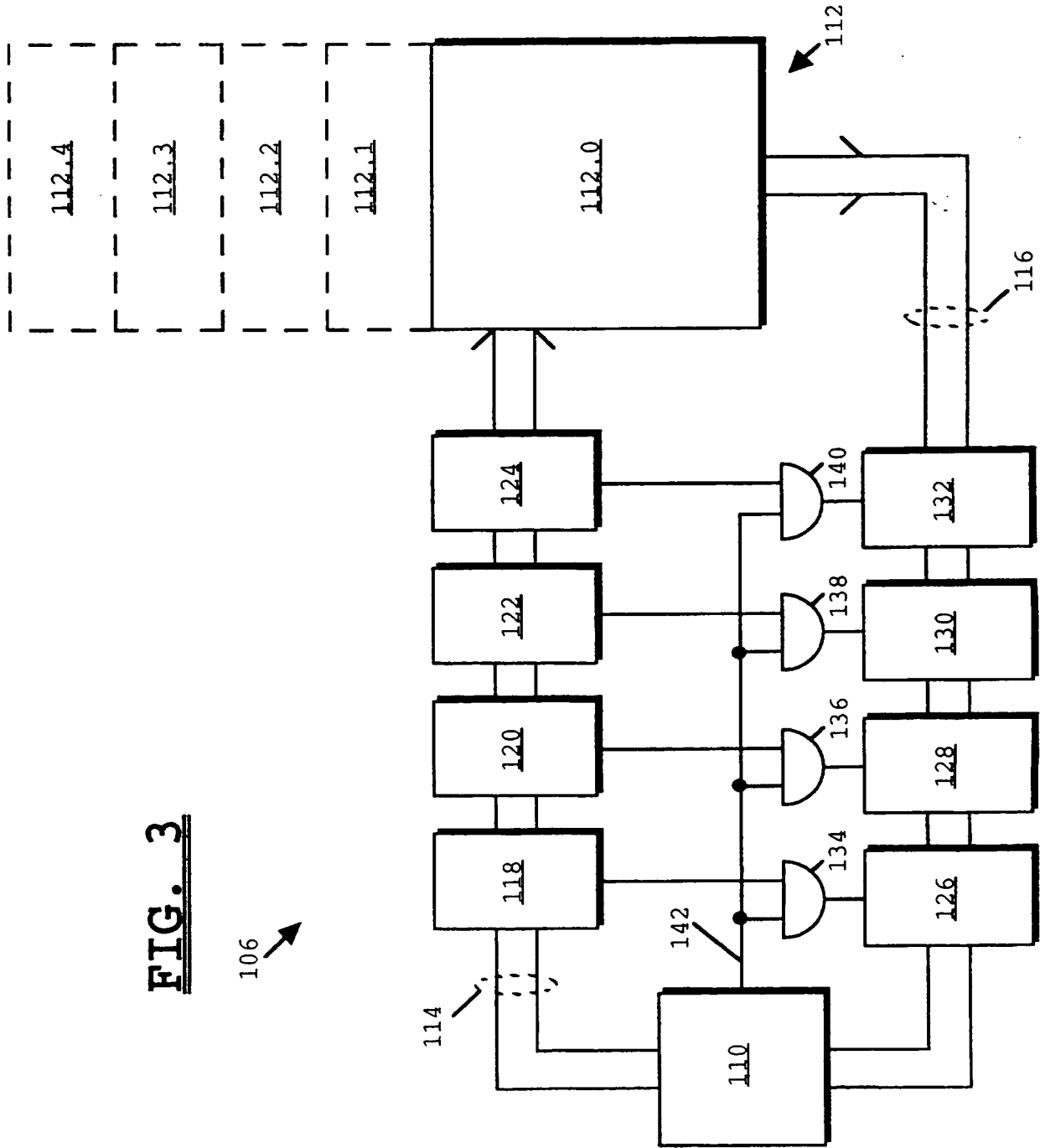
**FIG. 1**



**FIG. 2**



**FIG. 3**



- 1 -

ARRANGEMENT FOR ENCRYPTION/DECRYPTION OF DATA  
AND DATA CARRIER INCORPORATING SAME

5 FIELD OF THE INVENTION

This invention relates generally to encryption/decryption of data, and to data carriers incorporating arrangements for encryption/decryption of  
10 data.

BACKGROUND OF THE INVENTION

15 Electronic devices are commonly used to carry data (such as, for example, financial or personal data) which must be kept secure from unauthorised access. A typical method of preventing unauthorised access to data carried in such devices is by the use of a password.

20 A typical, known password scheme involves the use of the known Data Encryption Standard (DES) to encrypt/decrypt the password. In its steps the Data Encryption Standard algorithm requires data to be  
25 permuted in a predetermined manner.

The practical implementation of the Data Encryption Standard typically requires the presence of extra registers and/or additional processing steps to effect  
30 the required permutations.

## SUMMARY OF THE INVENTION

It is an object of the present invention to provide an arrangement for encryption/decryption of data, and a data carrier incorporating same, in which the above  
5 disadvantages are overcome or at least alleviated.

In accordance with a first aspect of the invention there is provided an arrangement for  
10 encryption/decryption of data as claimed in claim 1.

In accordance with a second aspect of the invention there is provided an arrangement for encryption/decryption of data as claimed in claim 2.  
15

In accordance with a third aspect of the invention there is provided a data carrier incorporating an arrangement for encryption/decryption of data as claimed in claim 6.  
20

## BRIEF DESCRIPTION OF THE DRAWINGS

One data carrier incorporating an arrangement for encryption/decryption of data will now be described, by way of example only, with reference to the accompanying drawings, in which:  
25

FIG. 1 shows a data carrier utilising the present invention;  
30

FIG. 2 shows a block schematic diagram of a microcontroller of the data carrier of FIG. 1; and

FIG. 3 shows a block schematic diagram of an alternative microcontroller which may be used in the data carrier of FIG. 1.

5

#### DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

Referring firstly to FIG. 1, a smart card data carrier 2 is formed of a conventionally-sized plastic card 4, which encapsulates an integrated circuit microcontroller 6. Contacts 8 provide an interface between the card's microcontroller 6 and a card reader (not shown).

10 Referring now also to FIG. 2, the microcontroller 6 comprises a central processing unit (CPU) 10 which is coupled to random access memory (RAM) 12 via an address bus 14 and a data bus 16. As will be described in more detail below, the address space of the CPU 10 is mapped on to five memory ranges 12.0 (\$10 to \$FF), 12.1 (\$110 to \$1FF), 12.2 (\$210 to \$2FF), 12.3 (\$310 to \$3FF) and 12.4 (\$410 to \$4FF). Only the lowest address range 12.0 (\$10 to \$FF) contains physical RAM.

25 Four similar address range detectors 18, 20, 22 and 24 are coupled to the address bus 14. As will be described in more detail below, the address range detectors 18, 20, 22 and 24 detect whether an address on the address bus is within one of four respective chosen ranges, and to produce a corresponding detector output.

30 Four similar logic circuits 26, 28, 30 and 32 (which will be described in more detail below) are connected in the data bus 16. Four logic AND gates 34, 36, 38 and 40

have their outputs connected respectively to the logic circuits 26, 28, 30 and 32. The AND gates 34, 36, 38 and 40 each have one input connected to the output of a respective address range detectors 18, 20, 22 and 24.

- 5 The AND gates 34, 36, 38 and 40 each have another input connected to receive a write enable signal from the CPU 10 on line 42.

As will be described below, the circuitry of FIG. 2  
10 is particularly useful in performing the Data Encryption Standard (DES) algorithm. As referenced above, the DES algorithm is a well-known algorithm for encryption/decryption of data such as passwords and cipher keys. Further details of the DES algorithm are  
15 given in National Bureau of Standards Publication 500-20, 1980 "Validating the Correctness of Hardware Implementations of the NBS Data Encryption Standard", which is hereby incorporated by reference.

20 As well known, the DES algorithm requires data to be permuted in two different pre-defined permutations: a 32-bit permutation conventionally termed the "p" permutation, and a 48-bit permutation conventionally termed the "permuted choice 2" permutation.

25 As will be described below, in the microcontroller 6 the "p" permutation and the "permuted choice 2" permutation are performed in hardware, other steps of the DES algorithm being performed in software (as is well  
30 known) and requiring no further explanation.

The "p" permutation is performed by combining two sub-functions P1 and P2, and the "permuted choice 2"



permutation is performed by combining two sub-functions KS1 and KS2.

The P1 sub-function is defined as follows:

5

	7	6	5	4	3	2	1	0
p1 input byte 0	01	02	03	04	-	-	-	-
p1 input byte 1	09	10	11	12	-	-	-	-
p1 input byte 2	17	18	19	20	-	-	-	-
p1 input byte 3	25	26	27	28	-	-	-	-
p1 output byte 0	-	-	20	-	-	12	28	17
p1 output byte 1	01	-	-	26	-	18	-	10
p1 output byte 2	02	-	-	-	-	27	03	09
p1 output byte 3	19	-	-	-	-	11	04	25

The P2 sub-function is defined as follows:

	7	6	5	4	3	2	1	0
p2 input byte 0	-	-	-	-	05	06	07	08
p2 input byte 1	-	-	-	-	13	14	15	16
p2 input byte 2	-	-	-	-	21	22	23	24
p2 input byte 3	-	-	-	-	29	30	31	32
p2 output byte 0	16	07	-	21	29	-	-	-
p2 output byte 1	-	15	23	-	5	-	31	-
p2 output byte 2	-	08	24	14	32	-	-	-
p2 output byte 3	-	13	30	06	22	-	-	-

The KS1 sub-function is defined as follows:

	7	6	5	4	3	2	1	0
KS1 input byte 0	01	02	03	04	05	06	07	08
KS1 input byte 1	09	10	11	12	13	14	15	16
KS1 input byte 2	17	18	19	20	21	22	23	24
KS1 input byte 3	25	26	27	28	-	-	-	-
KS1 output byte 0	-	-	14	17	11	24	01	05
KS1 output byte 1	-	-	03	28	15	06	21	10
KS1 output byte 2	-	-	23	19	12	04	26	08
KS1 output byte 3	-	-	16	07	27	20	13	02

The KS2 sub-function is defined as follows:

5

	7	6	5	4	3	2	1	0
KS2 input byte 0	29	30	31	32	33	34	35	36
KS2 input byte 1	37	38	39	40	41	42	43	44
KS2 input byte 2	45	46	47	48	49	50	51	52
KS2 input byte 3	53	54	55	56	-	-	-	-
KS2 output byte 0	-	-	41	52	31	37	47	48
KS2 output byte 1	-	-	44	49	39	56	34	53
KS2 output byte 2	-	-	46	42	50	36	29	32
KS2 output byte 3	-	-	46	42	50	36	29	32

In the above table definitions of the P1 and P2 sub-functions and the KS1 and KS2 sub-functions, the bit numbering scheme is as described in the above-quoted NBS reference.

10

It may also be noted that bits 9, 18, 22, 25, 35, 38, 43 and 54 in the KS1 and KS2 sub-functions are unused, as also described in the above-quoted NBS reference.

In order to perform the P1 sub-function, the CPU attempts to write four bytes (0 to 3) of source data (to be permuted) to address locations in the memory address space 12.1 (\$110 to \$1FF). The effect of this is  
5 actually to write permuted data into corresponding memory locations in the address range 12.0 (\$10 to \$FF). This permutation is achieved as follows.

10 When the address range detector 18 detects that the address bus carries an address in this range (\$1xx), it produces its output to the AND gate 34. The AND gate 34, also receives a write enable signal from the CPU 10, and produces a permute enable signal to the logic circuit 26.

15 Absent a permute enable signal, the logic circuit 26 passes data along the data bus directly without permuting its bits. However, in the presence of a permute enable signal produced by its associated AND gate, the logic  
20 circuit 26 permutes the individual bits of data on the data bus in accordance with the definition given in the upper half of the P1 table above. The permuted data is then written to the physical memory locations at corresponding addresses in the range (\$10 to \$FF), the  
25 most significant character of the attempted address (in the range \$110 to \$1FF) being ignored in actually writing the data into physical RAM memory space 12.0.

The result of this permuted writing is that after  
30 all four bytes have been written by the CPU, the permuted data can be read from the physical memory space 12.0 (\$10 to \$FF) as shown the lower half of the P1 table above.

Similarly, to the process described above for the P1 sub-function, in order to perform the P2 sub-function, the CPU attempts to write four bytes (0 to 3) of source data (to be permuted) to address locations in the memory address space 12.2 (\$210 to \$2FF). The effect of this is actually to write permuted data into corresponding memory locations in the address range 12.0 (\$10 to \$FF). This permutation is achieved as follows.

10        When the address range detector 20 detects that the address bus carries an address in this range (\$2xx), it produces its output to the AND gate 36. The AND gate 36 also receives a write enable signal from the CPU 10, and produces a permute enable signal to the logic circuit 28.

15        Absent a permute enable signal, the logic circuit 28 passes data along the data bus directly without permuting its bits. However, in the presence of a permute enable signal produced by its associated AND gate, the logic circuit 28 permutes the individual bits of data on the data bus in accordance with the definition given in the upper half of the P2 table above. The permuted data is then written to the physical memory locations at corresponding addresses in the range (\$10 to \$FF), the most significant character of the attempted address (in the range \$210 to \$2FF) being ignored in actually writing the data into physical RAM memory space 12.0.

30        The result of this permuted writing is that after all four bytes have been written by the CPU, the permuted data can be read from the physical memory space 12.0 (\$10 to \$FF) as shown the lower half of the P2 table above.

It will be understood that by performing the P1 sub-function followed by the P2 sub-function, the "p" permutation is achieved, and the "p" permuted data can be read directly from the four corresponding bytes in the physical memory space 12.0 (\$10 to \$FF).

It will be understood that the P1 sub-function uses only the upper four bits of each input byte, while the P2 sub-function uses only the lower four bits of each input byte, the unused four bits being discarded in each case. This allows efficient coding of the DES algorithm in the microcontroller 6: since the previous step in the DES algorithm is the "s1..s8 switch box lookup table", which returns two switch boxes per byte, the programmer is not required to mask out the extra four bits (saving code and time) before performing the "p" permutation as described above.

Similarly, to the process described above for the P1 and P2 sub-functions, in order to perform the KS1 sub-function, the CPU attempts to write four bytes (0 to 3) of source data (to be permuted) to address locations in the memory address space 12.3 (\$310 to \$3FF). The effect of this is actually to write permuted data into corresponding memory locations in the address range 12.0 (\$10 to \$FF). This permutation is achieved as follows.

When the address range detector 22 detects that the address bus carries an address in this range (\$3xx), it produces its output to the AND gate 38. The AND gate 38 also receives a write enable signal from the CPU 10, and produces a permute enable signal to the logic circuit 30.

Absent a permute enable signal, the logic circuit 30 passes data along the data bus directly without permuting its bits. However, in the presence of a permute enable signal produced by its associated AND gate, the logic  
5 circuit 30 permutes the individual bits of data on the data bus in accordance with the definition given in the upper half of the KS1 table above. The permuted data is then written to the physical memory locations at  
10 corresponding addresses in the range (\$10 to \$FF), the most significant character of the attempted address (in the range \$310 to \$3FF) being ignored in actually writing the data into physical RAM memory space 12.0.

The result of this permuted writing is that after  
15 all four bytes have been written by the CPU, the permuted data can be read from the physical memory space 12.0 (\$10 to \$FF) as shown the lower half of the KS1 table above.

Similarly, to the process described above for the  
20 P1, P2 and KS1 sub-functions, in order to perform the KS2 sub-function, the CPU attempts to write four bytes (0 to 3) of source data (to be permuted) to address locations in the memory address space 12.4 (\$410 to \$4FF). The effect of this is actually to write permuted data into  
25 corresponding memory locations in the address range 12.0 (\$10 to \$FF). This permutation is achieved as follows.

When the address range detector 24 detects that the address bus carries an address in this range (\$4xx), it  
30 produces its output to the AND gate 40. The AND gate 40 also receives a write enable signal from the CPU 10, and produces a permute enable signal to the logic circuit 32.

Absent a permute enable signal, the logic circuit 32 passes data along the data bus directly without permuting its bits. However, in the presence of a permute enable signal produced by its associated AND gate, the logic  
5 circuit 32 permutes the individual bits of data on the data bus in accordance with the definition given in the upper half of the KS2 table above. The permuted data is then written to the physical memory locations at  
10 corresponding addresses in the range (\$10 to \$FF), the most significant character of the attempted address (in the range \$410 to \$4FF) being ignored in actually writing the data into physical RAM memory space 12.0.

The result of this permuted writing is that after  
15 all four bytes have been written by the CPU, the permuted data can be read from the physical memory space 12.0 (\$10 to \$FF) as shown the lower half of the KS2 table above.

It will be understood that by performing the KS1  
20 sub-function followed by the KS2 sub-function, the "permuted choice 2" permutation is achieved, and the "permuted choice 2" permuted data can be read directly from the four corresponding bytes in the physical memory space 12.0 (\$10 to \$FF).

25 It will be understood that the KS1 and KS2 sub-functions, into which the 48-bit "permuted choice 2" function is split, are 28-bit functions which are spread over four bytes with the most significant two bits of  
30 each byte unused (i.e., clear).

It will be understood that necessary interconnection of the logic circuitry in the logic circuits 26, 28, 30 and 32 will be readily apparent since it follows directly

from the definitions given in the tables above for the sub-functions P1, P2, KS1 and KS2, and so needs no further explanation in this description.

5           It will be appreciated that by utilising the hardware permutation and "virtual memory" addressing techniques described above, advantages of speed and efficiency are obtained over the known technique of implementing the DES algorithm entirely in software. A  
10 comparison reveals the above-described "hardware" embodiment to be more than twice as fast as the known software-only technique, and to require some 40% less code than the known software-only technique (so requiring less ROM to hold the code).

15           It will be appreciated that the increased speed advantage of the above-described "hardware" embodiment can be "traded-off" for slower CPU operation (a slower clock speed producing lower power consumption) in power  
20 sensitive applications.

          It will also be understood that the above-described "hardware" embodiment is "silicon area" efficient, since the extra area required for the additional circuitry  
25 necessary is substantially entirely offset by the saving in silicon area from reduced need for memory to hold code. Hence the above-described "hardware" embodiment produces its advantage at little or no cost in fabricating the integrated circuit microcontroller.

30           It will further be understood that, although in the above-described "hardware" embodiment data permutation is achieved by writing to a "virtual memory" space and reading the permuted data directly from physical memory,



an analogous technique is possible in which data permutation is achieved by writing directly to physical memory and permuting the data in hardware when reading from a "virtual memory" space.

5

FIG. 3 shows an alternate microcontroller in which this analogous technique is used.

Referring now to FIG. 3, a microcontroller 106  
10 comprises a central processing unit (CPU) 110 which is coupled to random access memory (RAM) 112 via an address bus 114 and a data bus 116. The address space of the CPU 110 is mapped on to five memory ranges 12.0 (\$10 to \$FF), 12.1 (\$110 to \$1FF), 12.2 (\$210 to \$2FF), 12.3 (\$310 to  
15 \$3FF) and 12.4 (\$410 to \$4FF). Only the lowest address range 12.0 (\$10 to \$FF) contains physical RAM.

Four similar address range detectors 118, 120, 122 and 124 are coupled to the address bus 114. The address  
20 range detectors 118, 120, 122 and 124 detect whether an address on the address bus is within one of four respective chosen ranges, and produce a corresponding detector output.

25 Four similar logic circuits 126, 128, 130 and 132 are connected in the data bus 116. Four logic AND gates 134, 136, 138 and 140 have their outputs connected respectively to the logic circuits 126, 128, 130 and 132. The AND gates 134, 136, 138 and 140 each have one input  
30 connected to the output of a respective address range detector 118, 120, 122 and 124. The AND gates 134, 136, 138 and 140 each have another input connected to receive a read enable signal from the CPU 110 on line 142.

It will be understood that the microcontroller 106 achieves hardware data permutation in implementing the DES algorithm in a manner analogous to that described above for the microcontroller 6 shown in FIG. 2.

5 However, whereas in the microcontroller 6 data permutation is achieved by writing data to a virtual memory address (and permuting the data in hardware when writing) then reading the permuted data directly from physical memory, the microcontroller 106 achieves data  
10 permutation by writing directly to physical memory then reading from a "virtual memory" space (and permuting the data in hardware when reading).

It will be appreciated that various other modifications  
15 will be apparent to a person of ordinary skill in the art.

## CLAIMS

1. An arrangement for encrypting/decrypting data comprising:

- 5 random access memory means for holding the data;  
a processor for processing the data, the processor having a memory map including a first portion mapped onto the random access memory and a second portion; and  
control means coupled to receive an instruction to  
10 write data to an address in the second portion of the memory map and in response thereto to write the data in a predetermined permuted form to an associated address in the random access memory,  
whereby data read from said associated address in  
15 the random access memory is an encrypted/decrypted version of the data written to said address in the second portion of the memory map.

2. An arrangement for encrypting/decrypting data comprising:

- 20 random access memory means for holding the data;  
a processor for processing the data, the processor having a memory map including a first portion mapped onto the random access memory and a second portion; and  
25 control means coupled to receive an instruction to read data from an address in the second portion of the memory map and in response thereto to read in a predetermined permuted form data from an associated address in the random access memory,  
30 whereby said data read in response to said instruction to read data from an address in the second portion of the memory map is an encrypted/decrypted version of data written to said associated address in the random access memory.

3. An arrangement for encrypting/decrypting data  
according to claim 1 or 2 wherein the data  
encryption/decryption is a permutation function in the  
5 DES algorithm.

4. An arrangement for encrypting/decrypting data  
according to claim 3 wherein the DES permutation function  
is achieved by performing sequential sub-functions.  
10

5. An arrangement for encrypting/decrypting data  
according to claim 3 wherein the DES permutation function  
is the "p" permutation and the sub-functions are arranged  
to leave unused the most significant bits and least  
15 significant bits respectively of data to be permuted.

6. A data carrier incorporating an arrangement for  
encrypting/decrypting data as claimed in any preceding  
claim.  
20

7. An arrangement for encrypting/decrypting data  
substantially as hereinbefore described with reference to  
the accompanying drawings.

25 8. A data carrier substantially as hereinbefore  
described with reference to the accompanying drawings.



Application No: GB 9624187.2  
Claims searched: 1-8

Examiner: Mr B J Spear  
Date of search: 4 February 1997

## Patents Act 1977 Search Report under Section 17

### Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.O): G4A (AAP), H4P (PDCST, PDCSX)

Int Cl (Ed.6): H04L 9/06

Other: Online: WPI, INSPEC

### Documents considered to be relevant:

Category	Identity of document and relevant passage	Relevant to claims
A	US5214701 (US Philips)	-

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.

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